

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number <b>056291-5186</b>
International Application. No.	International Filing Date	U.S. Application No. <b>10/511984</b> Unassigned
PCT/GB03/01742	April 23, 2003	Priority Date Claimed April 25, 2002

Title of Invention: **BENZOTHIADIAZEPINE DERIVATIVES, PROCESSES FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM**

Applicants For EO/EO/US: Ingemar STARKE, Mikael U. J. DAHLSTROM, Mats P. NORDBERG, Suzanne ALNFALK, Andreas C. WALLBERG and Stig J. BOSTROM

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☐ A copy of the International Application as filed has been transmitted by the Bureau.
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
10. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 12. to 15. below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
14. ☐ A FIRST preliminary amendment.
15. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☒ Other items or information:
  - a. PCT/IPEA/409
  - b. PCT/IPEA/416
  - c. International Search Report w/PTO-1449

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15. ☒ The following fees are submitted:
- Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):**
- Search Report has been prepared by the EPO or JPO.....\$950.00
- International preliminary examination fee paid to  
 USPTO (37 C.F.R. § 1.482).....\$750.00
- No international preliminary examination fee paid to  
 USPTO (37 C.F.R. § 1.482) but international search fee  
 paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$790.00
- Neither international preliminary examination fee  
 (37 C.F.R. § 1.482) nor international search fee  
 (37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,110.00
- International preliminary examination fee paid to USPTO  
 (37 C.F.R. § 1.482) and all claims satisfied provisions  
 of PCT Article 33(2)-(4).....\$100.00
- ENTER APPROPRIATE BASIC FEE AMOUNT = \$950.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date  
 (37 C.F.R. § 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	25 - 20 =	5	X \$18.00	\$ 90.00
Independent Claims	2 - 3 =	0	X \$88.00	\$
Multiple dependent claim(s) (if applicable)			+ \$300.00	\$300.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$1,340.00</b>
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
<b>SUBTOTAL =</b>				<b>\$1,340.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,340.00</b>
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$ 40.00
<b>TOTAL FEES =</b>				<b>\$1,380.00</b>
Amount to be refunded				\$
Amount to be charged				<b>\$1,380.00</b>

- a. ☒ Please charge Deposit Account No. 50-0310 in the amount of **\$1,380.00**  
 to cover the above fees. A duplicate copy of this sheet is enclosed.
- b. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized  
 by this paper to charge any additional fees during the entire pendency of this application  
 including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any  
 overpayment to Deposit Account No. 50-0310.

Customer No. 09629  
**SEND ALL CORRESPONDENCE TO:**  
 Morgan, Lewis & Bockius LLP  
 1111 Pennsylvania Avenue, N.W.  
 Washington, D.C. 20004  
 Telephone: (202) 739-3000  
 Facsimile: (202) 739-3001

Donald J. Bird Reg. No. 25,323

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